

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE 125710-2

In re Application of: Davis et al.  
Serial Number: To be assigned  
Filed: Herewith  
Examiner: To be assigned  
Group Art Unit: To be assigned  
For: POLYCARBONATE/POLYESTER COPOLYMER BLENDS  
AND PROCESS FOR MAKING THEREOF

INFORMATION DISCLOSURE STATEMENT

Commissioner for Patents  
PO Box 1450  
Alexandria, VA 22313-1450

SIR:

- ☒ 1 Pursuant to 37 CFR 1.97(b)  
[within 3 months of filing or prior to 1st Office Action]
- ☐ 2 Certification Pursuant to 37 CFR 1.97(c)  
[before Final Office Action or Allowance]
- ☐ 3 Fee Payment Pursuant to 37 CFR 1.97(c)  
[before Final Office Action or Allowance]
- ☐ 4 Petition, Certification & Petition Fee Payment Pursuant to 37 CFR 1.97(d)  
[before issue fee payment]

The following are submitted in the above identified application in compliance with 37 CFR 1.97 & 1.98:

- ☒ 5 A list of documents on form PTO-1449 together with copies of each identified document and a translation or a concise explanation of each non-English language document is enclosed herewith.

This paper is submitted in accordance with:

- ☒ 6 37 CFR 1.97(b): [within 3 months of filing or prior to 1st Office Action]
- ☐ 7 37 CFR 1.97(c): [before Final Office Action or Allowance, whichever is earlier]; and


CERTIFICATE OF MAILING

I hereby certify that this correspondence is being deposited with the United States Postal Service as Express mail in an envelope addressed to: Mail Drop Patent APPLICATIONS, Commissioner for Patents, PO Box 1450, Alexandria, VA 22313-1450, on this 23rd day of July, 2003.

Judith A. Rowe  
Judith A. Rowe

- ☐ 8 The required certification made in item 11 below; or
- ☐ 9 The \$180.00 fee specified in 37 CFR §1.17(p) for submission of this Information Disclosure Statement is authorized in item 14 below.
- ☐ 10 37 CFR §1.97(d): [before issue fee payment]; and
- a) This is a petition for consideration of the subject Information Disclosure Statement. The petition fee (\$130.00) required by 37 CFR 1.17(i)(1) is authorized in item 14 below. (Direct this letter to: "Attention PETITIONS EXAMINER" and if applicable include batch locator information; e.g. "Allowed Files, Batch \_\_\_\_\_, Date of Allowance \_\_\_\_\_", and
- b) The required Certification is stated in item 11 below.
- ☐ 11 Certification
- ☐ 12 Each item of information contained in this Statement was first cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this Statement; or
- ☐ 13 No item of information contained in this Statement was cited in a communication from a foreign patent office in a counterpart foreign application or, to the knowledge of the person signing this document after making reasonable inquiry, was known to any individual designated in 37 CFR 1.56(c) more than three (3) months prior to the filing of this Statement.
- ☒ 14 Please charge all applicable fees associated with the submittal of this Information Disclosure Statement to **Deposit Account No. 07-0862**. An original and two (2) copies of this document are enclosed.

Respectfully submitted,



Robert E. Walter  
Attorney for Applicants  
Registration No. 25,245  
Telephone No. 413-448-5459

General Electric Company  
One Plastics Avenue  
Pittsfield, MA 01201

July 23, 2003

REW/jar

<b>PTO-1449</b> <b>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</b> <b><u>LIST OF ITEMS</u></b> (Use several sheets if necessary)										Attorney's Docket Number <b>125710-2</b>		Serial Number To be assigned	
										Name of Applicant <b>Davis et al.</b>			
										Filing Date Herewith		Group To be assigned	
<b>U.S. PATENT DOCUMENTS</b>													
* Examiner Initials	Document Number								Date	Name	Class	Subclass	Filing Date if Appropriate
	AA	2	4	6	5	3	1	9	03-22-1949	Whinfield et al.			
	AB	3	1	6	9	1	2	1	02-09-1965	Goldberg			
	AC	4	4	8	7	8	9	6	12-11-1984	Mark et al.			
	AD	4	5	0	6	4	4	2	03-26-1985	Alzmann et al.			
	AE	5	0	5	5	5	3	1	10-08-1991	Fox et al.			
	AF	5	3	6	7	0	1	1	11-22-1994	Walsh			
	AG	5	4	1	1	9	9	9	05-02-1995	Gallucci			
	AH	6	2	8	1	2	9	9	08-28-2001	Saito et al.			
	AI	2	9	9	9	8	3	5	09/12/1961	Goldberg			
	AJ	3	0	3	8	3	6	5	06/12/1962	Peterson			
<b>FOREIGN PATENT DOCUMENTS</b>													
	Document Number								Date	COUNTRY	Class	Subclass	TRANSLATION YES      NO
	AK	WO 02/38675 A2							05/16/2002				
	AL												
	AM												
	AN												
	AO												
	AP												
<b>OTHER INFORMATION (Including Author, Title, Date, Pertinent)</b>													
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<b>EXAMINER</b>										<b>DATE CONSIDERED</b>			
* <b>EXAMINER:</b> Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not conformance and not considered. Include copy of this form with next communication to applicant.													

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										Filing Date Herewith		Group To be assigned	
<b>U.S. PATENT DOCUMENTS</b>													
* Examiner Initials	Document Number								Date	Name	Class	Subclass	Filing Date if Appropriate
	AA	3	1	5	3	0	0	8	10/13/1964	Fox			
	AB	3	3	3	4	1	5	4	08/01/1967	Kim			
	AC	3	6	3	5	8	9	5	01/18/1972	Kramer			
	AD	4	0	0	1	1	8	4	01/04/1977	Scott			
	AE	4	1	2	3	4	3	6	10/31/1978	Holub et al.			
	AF	4	1	2	5	5	7	2	11/14/1978	Scott			
	AG	4	1	3	1	5	7	5	12/26/1978	Adelmann et al.			
	AH	4	1	8	8	3	1	4	02/12/1980	Fox et al.			
	AI	4	3	9	1	9	5	4	07/05/1983	Scott			
	AJ	4	7	8	6	6	9	2	11/22/1988	Allen et al.			
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<b>U.S. PATENT DOCUMENTS</b>													
<b>* Examiner Initials</b>	<b>Document Number</b>								<b>Date</b>	<b>Name</b>	<b>Class</b>	<b>Subclass</b>	<b>Filing Date if Appropriate</b>
	AA	4	8	9	7	4	5	3	01/30/1990	Flora et al.			
	AB	5	4	7	8	8	9	6	12/26/1995	Scott			
	AC	5	1	9	4	5	2	3	03/16/1993	Small, Jr. et al.			
	AD	5	2	0	7	9	6	7	05/04/1993	Small, Jr. et al.			
	AE	5	4	4	1	9	9	7	08/15/1995	Walsh et al.			
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